

Corporate Governance and Audit Committee

Draft 2017/18 work programme

Subject	Route	Lead Officer
30 June 2017		
Audit and certification fees 2016-17		EY
Audit Progress Report		EY
S106 Annual Monitoring report		Lone Le Vay
Annual report on Partnerships		Amy Loaring
Fraud Prevention		Steve James
Report on potential liabilities of outstanding litigation (Part 2)		Nick Bennett
Internal audit - individual reports and audit plan progress 2016-17 and new audit plan 2017-18		Stephen James
Business Continuity – update on progress Health & Safety – moved to June each year as WT reports on previous financial year		Warren Townsend
28 September 2017		
Audit Results Report 2016-17		EY
Statement of Accounts 2016-17		J Ward /H Belenger
Corporate Governance report to Full Council 2016-17 App 1 CGAC report to Full Council; App 2 Annual Governance Statement; App 3 Report on Partnerships; App 4 Effectiveness of Internal Audit section	Council 19 Sep or 21 Nov	Steve James
Formal complaints, FOI requests and Subject Access Requests analysis 2016-17		Jane Dodsworth
Internal audit - individual reports and audit plan progress		Stephen James
23 November 2017		
Annual audit letter ending 31 March 2017		EY
Audit Progress Report		EY
Financial Strategy & Plan	Cabinet 5 Dec	John Ward
Revised Treasury Management Strategy 2018-9	Cabinet 5 Dec	Helen Belenger
Strategic & Operational Risks 2016-17 – report back from SRG 2 Nov 2017	Cabinet 5 Dec	Helen Belenger

Subject	Route	Lead Officer
S106 exceptions report		Lone le Vay
Internal audit - individual reports and audit plan progress		Stephen James
25 January 2018		
Certification of claims and returns annual report 2016-17		EY
Audit Progress Report ??		EY
Budget TFG – report back by members of the group		John Ward/ TFG members
Internal audit - individual reports and audit plan progress		Stephen James
29 March 2018		
CGAC work programme 2018-19		Bambi Jones
Accounting Policies		Helen Belenger
Fraud Prevention		Stephen James
Internal audit - individual reports and audit plan progress		Stephen James
Carry forward requests	Cabinet 10 Apr 2018	David Cooper

Reports emailed to CGAC members for information:

- Audit scopes – emailed out to members
- Audits where recommendations are low risk – medium and high risk audits included on agenda year
- Treasury Management monthly reports – emailed to members
- Property Investment performance monthly reports – emailed to members

Annual reports sent to CGAC members for information:

- Health & Safety annual report – September
- Employment Statistics annual report – November (reported as part of Equalities update)
- Energy use in buildings and vehicles annual report – March